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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PIPE JCTG
APR 29 2002
PATENT & TRADEMARK OFFICE

Applicants: M. Clark DALE et al.

Serial No.: 10/056,063

Filing Date: January 28, 2002

For: A HIGH-SPEED, CONSECUTIVE BATCH OR CONTINUOUS, LOW
EFFLUENT PROCESS FOR THE PRODUCTION OF ETHANOL
FROM MOLASSES, STARCHES, OR SUGARS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Attention: APPLICATION BRANCH
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed February 28, 2002, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☐ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☐ Certified copy of ** Application No. **, filed **, the priority of which is claimed under 35 U.S.C. §119.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Serial No.: 10/056,063

The Applicant qualifies for Small Entity status.

X Filing Fee, calculated as follows:

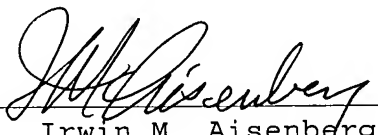
	Small Entity	Large Entity	
Basic Filing Fee	\$370.00	\$740.00	370.00
Total Claims <u>16</u> - 20 = 0	(x \$9) =	(x \$18) =	.00
Indep. Claims <u>1</u> - 3 = 0	(x \$42) =	(x \$84) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	65.00
TOTAL FILING FEES \$			+ 435.00

Check No. 57986, in the amount of \$435.00, is enclosed to cover the filing fee and late filing surcharge. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By


Irwin M. Aisenberg
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Atty. Docket No.: P66143US1
Date: April 29, 2002
IMA/cmf



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,063	01/28/2002	M. Clark Dale	P66143US1

CONFIRMATION NO. 3968

FORMALITIES LETTER



OC000000007549728

JACOBSON HOLMAN
400 SEVENTH STREET, N. W.
WASHINGTON, DC 20004

Date Mailed: 02/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/30/2002 GGEBREGI 00000081 10056063

01 FC:201
02 FC:205

370.00 OP
65.00 OP